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Administration for Children and Families



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Just Released: 2016 Head Start Program Performance Standards



#HeadStart



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- 09/06/2016**
An Exciting Day for Head Start

- 09/01/2016**
Head Start Program Performance Standards (HSPPS) Final Rule (ACF-PI-HS-16-04)

- 08/29/2016**
A Healthy Start: Five Reasons to Encourage Families to Vaccinate Their Children

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Explore various topics and resources surrounding screening in early childhood programs.



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Presenting the Standards



Presenting the New Head Start Program Performance Standards

HSPPS

HHS has made the first holistic revision and complete reorganization of the HSPPS since they were published in 1975. They are effective starting November 2016.

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Find videos and resources around key topics outlined in the 2016 Head Start Program Performance Standards.

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Explore the executive summary and discussion of comments from the preamble of the 2016 Head Start Program Performance Standards.

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Fact Sheet

Q & A

Program Instructions



Fact Sheet

The new Standards encourage the use of data for programs to ensure a continuous quality improvement process, reducing administrative burden and allowing grantees to focus on outcomes over process.

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Q & A

Explore these questions and answers surrounding the release of the new Standards.

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Program Instructions

Review the Final Rule around the HSPPS for key changes, effective dates, implementation support, and next steps.

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Effective Dates

Download this PDF for a list of the Standards with delayed effective dates, also called the "Compliance Table" from the preamble of the Head Start Program Performance Standards.

Redesignation Table

Download this PDF for a high level crosswalk of the numbering system between the new standards and the previous standards.

Introducing the New HSPPS Webcast

Watch as OHS leadership introduce the Head Start Performance Standards Webcast.



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Timeframes Required in HSPPS

This resource for programs describes the various timelines and timeframes described in parts 1302 and 1303 of the HSPPS.

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Head Start Policy and Regulations

Outline

- ▶ Part 1301—Program Governance
- ▶ Part 1302—Program Operations
- ▶ Part 1303—Financial and Administrative Requirements
- ▶ Part 1304—Federal Administrative Procedures
- ▶ Part 1305—Definitions

Subchapter B—The Administration For Children And Families, Head Start Program

For the reasons set forth in the preamble, under the authority at 42 U.S.C. 9801 et seq., subchapter B of 45 CFR chapter XIII is revised to read as follows:

[Part 1301—Program Governance](#)

[Part 1302—Program Operations](#)

[Part 1303—Financial and Administrative Requirements](#)

[Part 1304—Federal Administrative Procedures](#)

[Part 1305—Definitions](#)

See PDF version:

[Subchapter B—The Administration For Children And Families, Head Start Program](#)  [PDF, 765KB]



Head Start Policy and Regulations

Outline

- ▾ **Part 1301—Program Governance**
 - ▾ 1301.1 Purpose.
 - ▾ 1301.2 Governing body
 - ▾ 1301.3 Policy council and policy committee.
 - ▾ 1301.4 Parent committees.
 - ▾ 1301.5 Training.
 - ▾ 1301.6 Impasse procedures.
- ▶ Part 1302—Program Operations
- ▶ Part 1303—Financial and Administrative Requirements
- ▶ Part 1304—Federal Administrative Procedures
- ▶ Part 1305—Definitions

Part 1301—Program Governance

Sec.

[1301.1 Purpose.](#)

[1301.2 Governing body.](#)

[1301.3 Policy council and policy committee.](#)

[1301.4 Parent committees.](#)

[1301.5 Training.](#)

[1301.6 Impasse procedures.](#)

Authority: 42 U.S.C. 9801 *et seq.*

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[Subchapter B—The Administration For Children And Families, Head Start Program](#)  [PDF, 765KB]



Head Start Policy and Regulations

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Outline

▼ **Part 1301—Program Governance**

- 1301.1 Purpose.
- **[1301.2 Governing body](#)**
- 1301.3 Policy council and policy committee.
- 1301.4 Parent committees.
- 1301.5 Training.
- 1301.6 Impasse procedures.

▶ Part 1302—Program Operations

▶ Part 1303—Financial and Administrative Requirements

▶ Part 1304—Federal Administrative Procedures

▶ Part 1305—D

1301.2 Governing body

(a) *Composition.* The composition of a governing body must be in accordance with the requirements specified at section 642(c)(1)(B) of the Act, except where specific exceptions are authorized in the case of public entities at section 642(c)(1)(D) of the Act. Agencies must ensure members of the governing body do not have a conflict of interest, pursuant to section 642(c)(1)(C) of the Act.

(b) *Duties and responsibilities.* (1) The governing body is responsible for activities specified at section 642(c)(1)(E) of the Act.
(2) The governing body must use ongoing monitoring results, data on [school readiness goals](#), other information described in [§1302.102](#), and information described at section 642(d)(2) of the Act to conduct its responsibilities.

(c) *Advisory committees.* (1) A governing body may establish advisory committees as it deems necessary for effective governance and improvement of the program.

(2) If a governing body establishes an advisory committee to oversee key responsibilities related to program governance, it must:

School readiness goals

School readiness goals mean the expectations of children's status and progress across domains of language and literacy development, cognition and general knowledge, approaches to learning, physical well-being and motor development, and social and emotional development that will improve their readiness for kindergarten.





Head Start Policy and Regulations

Outline

▼ Part 1301—Program Governance

~ 1301.1 Purpose.

~ **[1301.2 Governing body](#)**

~ 1301.3 Policy council and policy committee.

~ 1301.4 Parent committees.

~ 1301.5 Training.

~ 1301.6 Impasse procedures.

▶ Part 1302—Program Operations

▶ Part 1303—Financial and Administrative Requirements

▶ Part 1304—Federal Administrative Procedures

▶ Part 1305—Definitions

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(2) The governing body must use ongoing monitoring results, data on [school readiness goals](#), other information described in [§1302.102](#), and information described at section 642(d)(2) of the Act to conduct its responsibilities.

(c) *Advisory committees.* (1) A governing body may establish advisory committees as it deems necessary for effective governance and improvement of the program.

(2) If a governing body establishes an advisory committee to oversee key responsibilities related to program governance, it must:

(i) Establish the structure, communication, and oversight in such a way that the governing body continues to maintain its legal and fiscal responsibility for the Head Start agency; and,

(ii) Notify the [responsible HHS official](#) of its intent to establish such an advisory committee.



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1. **1301.4 Parent committees.**

1301.4 **Parent committees.** (a) Establishing **parent committees** . A program must establish a **parent** committee ...

2. **1304.50 Program governance (1998)**

... (a) Policy Council, Policy Committee, and **Parent** Committee structure. (1) Grantee and delegate ... a definition of a delegate agency).
(iii) **Parent** Committee. For center-based programs, this Committee ... Committee for each option. (2) **Parent Committees** must be comprised exclusively of the parents of ...

3. **Child Development Services During Home Visits and Socializations in the Early Head Start Home-Based Program Option**

... be necessary, for example, if the safety of the child or **parent** is in jeopardy or the family becomes homeless [45 ... level, parents are offered opportunities to participate in **committees** that develop or evaluate the program's ... home visit presents numerous opportunities to support the **parent's** ability to facilitate rich learning experiences in ...

4. **Sec. 648 Technical Assistance and Training**

... with families, including training relating to increasing **parent** involvement and services designed to increase family ... Head Start agencies (including policy councils and policy **committees**) that meet the standards described in section ... communities; (IV) removing barriers to **parent** involvement in Head Start programs in rural ...

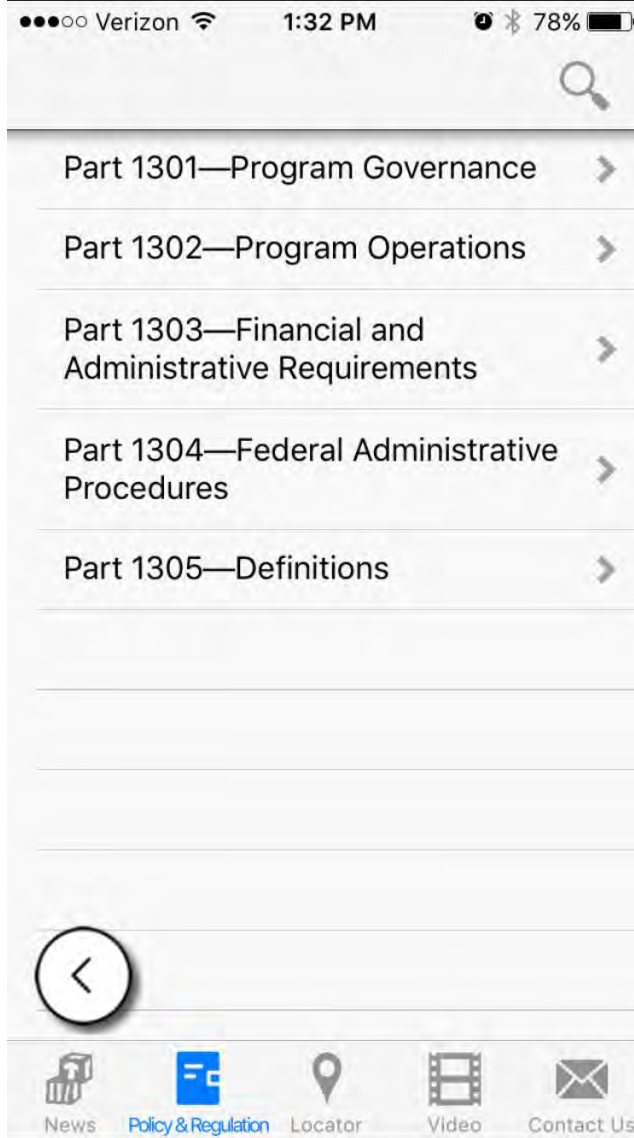
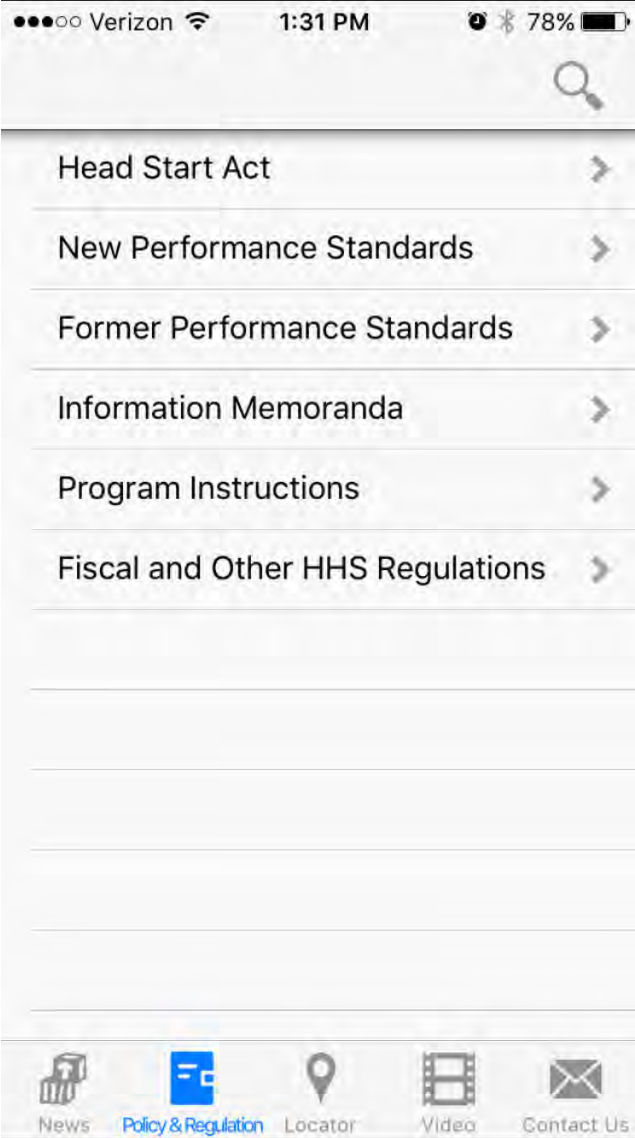
5. **Part 1301—Program Governance**

... 1301.3 Policy council and policy committee. 1301.4 **Parent committees.** 1301.5 Training. 1301.6 Impasse ...

6. **Sec. 642 Powers and Functions of Head Start Agencies**

... to share experiences with other parents (including **parent**-mentor relationships); (D) health ... (to the extent practicable), about the benefits of **parent** involvement and about the activities described in ... the discretion of the governing body, establishing advisory **committees** to oversee key responsibilities related to ...

Mobile Apps Updated



Mobile Apps Updated

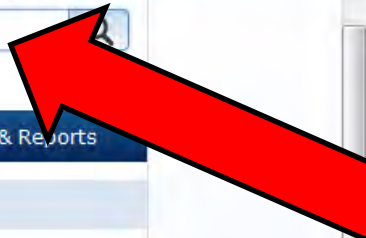


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former Head Start student,

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Presenting the New Head Start Program Performance Standards

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HHS has made the first holistic revision and complete reorganization of the HSPPS since they were published in 1975. They are effective starting November 2016.

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Find videos and resources around key topics outlined in the 2016 Head Start Program Performance Standards.

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Explore the executive summary and discussion of comments from the preamble of the 2016 Head Start Program Performance Standards.

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Head Start Program Performance Standards Showcase

[Download the video](#) [MP4, 16MB]
[Download the transcript](#) [PDF, 203KB]

The Office of Head Start has released the first comprehensive revision of the [Head Start Program Performance Standards \(HSPPS\)](#) since 1975. Find out more under "[Presenting the Standards](#)." Explore the content areas of the Showcase to learn more about how the Standards apply to major Head Start program areas.



HSPPS Showcase topics



Governance

Encompassed in Part 1301, this topic addresses the requirements around a governing body, a policy council at the agency level and policy committee at the delegate level, and a parent committee.

[Explore Resources](#)



ERSEA

Supporting Part 1302 Subpart A, this topic addresses requirements and procedures for the eligibility determination, recruitment, selection, enrollment, and attendance of children and includes new requirements related to suspension and expulsion and fees.

[Explore Resources](#)



Program Structure

As discussed mainly in Part 1302 subpart B, this topic addresses the program options a program may operate, and the setting, staffing, duration, and licensing requirements for each option.

[Explore Resources](#)



Education

Drawing mainly from Part 1302 Subpart C, this topic addresses how programs must provide high-quality early education and child development services, including for children with disabilities (Part 1302 Subpart F), that promote children's success in school.

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Health

Drawing from Part 1302 Subpart D, this section supports the requirements around health, oral health, mental health, and nutrition services, as well as the Health Services Advisory Committee.

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Family and Community Engagement

As discussed mainly in Part 1302 subpart E, this topic addresses how a program must integrate parent and family engagement strategies into all systems and program services, and establish ongoing relationships and partnerships with community organizations.

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HSPPS Showcase topics



Drawing mainly from Part 1302 Subpart C, this topic addresses how programs must provide high-quality early education and child development services, including for children with disabilities (Part 1302 Subpart F), that promote children's success in school.

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Drawing from Part 1302 Subpart D, this section supports the requirements around health, oral health, mental health, and nutrition services, as well as the Health Services Advisory Committee.

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Community Engagement

As discussed mainly in Part 1302 subpart E, this topic addresses how a program must integrate parent and family engagement strategies into all systems and program services, and establish ongoing relationships and partnerships with community organizations.

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HR & Professional Development

As discussed mostly in Part 1302 Subpart I, this topic addresses personnel policies, staff qualification and competency requirements, training and professional development, staff health and wellness, and volunteers.

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Program Management

Drawing largely from Part 1302 Subpart J, this topic addresses how a program provides management that supports a process of ongoing monitoring and using data for continuous improvement and achieving program goals.

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Admin & Fiscal Requirements

Encompassed mostly in Part 1303, this topic addresses financial requirements, administrative requirements, protections for the privacy of child records, delegation of program operations, facilities, and transportation.

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Just Released:
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PI on the HSPPS Final Rule



Head Start Program Performance Standards (HSPPS) Final Rule ACF-PI-HS-16-04

Announcing New Performance Standards

ACF Administration for Children and Families	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES	
	1. Log Number: ACF-PI-HS-16-04	2. Issuance Date: 09/01/2016
	3. Originating Office: Office of Head Start	
	4. Key Words: Head Start Program Performance Standards; HSPPS; Revision; Final Rule; Regulation	

PROGRAM INSTRUCTION

TO: All Head Start and Early Head Start Grantees, including Delegate Agencies and Early Head Start-Child Care Partnership Grantees

SUBJECT: Head Start Program Performance Standards (HSPPS) Final Rule

INSTRUCTION:

The Office of Head Start (OHS) announced in the Federal Register the first comprehensive revision of the [Head Start Program Performance Standards \(HSPPS\)](#) since their original release in 1975. The publication of this regulation marks an important milestone in the history of the Head Start program. The new standards build upon 50 years of leadership in comprehensive early childhood services and will further raise the quality of Head Start programs. The new HSPPS are streamlined, simplified, and reorganized to improve clarity and transparency to support high-quality program delivery and minimize administrative burden.

This final rule has been nearly a decade in the making, fulfilling Congress's call for a review and revision of the Program Performance Standards in the bipartisan *Improving Head Start for School Readiness Act of 2007*. The new standards were developed by incorporating the growing body of research on effective early care and education, consultation from subject matter experts and representatives from grantees and Indian tribes, and thoughtful consideration of the 1,000 public comments received on the Notice of Proposed Rulemaking (NPRM). The result is a new set of standards that reinforces Head Start's position as a leader in the field of comprehensive early education, reflects best practices for teaching and learning, allows local flexibility, and reduces the number of federal requirements by approximately one-third.

PI on the HSPPS Final Rule



Key Changes from Current Program Performance Standards

The most significant change in the new standards is requiring programs, over time, to offer longer service duration, which research links to stronger child outcomes. The new standards require that all Head Start center-based programs offer at least 1,020 annual hours of service for preschoolers by August 1, 2021, with at least 50 percent of their center-based preschool slots meeting this requirement by August 1, 2019. Early Head Start center-based programs must also provide 1,380 annual hours of service by August 1, 2018. These requirements will help programs to meet children's learning needs while allowing local flexibility to design schedules that best meet community and family needs.

This extended timeline allows substantially more time than was proposed in the NPRM to allow a sufficient period of time for program planning, while still ensuring that more children will gain greater access to increased learning experiences needed for strong outcomes. The final rule also reserves authority for the Secretary of the U.S. Department of Health and Human Services to reduce the requirement based on available funding.

A second major focus of the new standards is to solidify the critical role of parents in the program, which has been a long-standing cornerstone of the Head Start program. The final rule clearly acknowledges that parents are their children's best advocates, better articulates family partnership services requirements, and maintains the parent committee requirement. We also revised the governance impasse procedures to require mediation or arbitration if necessary to resolve disputes between the governing body and the Policy Council. These changes reflect our belief that parents are foundational to Head Start's success and that the program's two-generation approach is integral to its impact on children and families.

PI on the HSPPS Final Rule



The following provisions have a compliance date of **August 1, 2017**:

- §1302.22(c)(1) – Early Head Start home-based service duration
- §1302.32(a)(1)(ii) and (iii); §1302.32(a)(2); and §1302.32(b) – Curricula for center-based and family child care programs
- §1302.33(b)(1), (2), and (3); §1302.33(c)(2) and (3) – Assessment
- §1302.35(d)(1) through (3) – Curriculum for home-based programs
- §1302.53(b)(2) – Quality Rating and Improvement Systems (QRIS)
- §1302.53(b)(3) – Data systems
- §1302.90(b)(2), (4) and (5) – Complete background check procedures
- §1302.92(c) - Coordinated coaching strategy and coaching staff qualifications
- §1302.101(b)(4) – Management of program data

The following provisions have a compliance date of **August 1, 2018**:

- §1302.21(c)(1) – Early Head Start center-based service duration
- §1302.91(e)(4)(ii) – Child Development Specialist staff qualifications
- §1302.91(e)(6)(i) – Home visitor staff qualifications

The following provisions have a compliance date of **August 1, 2019**:

- §1302.21(c)(2)(iii) and (v) – Head Start center-based service duration: 50 percent of slots at 1,020 annual hours

The following provision has a compliance date of **August 1, 2021**:

- §1302.21(c)(2)(iv) – Head Start center-based service duration: 100 percent of slots at 1,020 annual hours

Effective dates for HSPPS



Table 1: Compliance Table

Performance Standard	Compliance Date
<p style="text-align: center;">Early Head Start center-based service duration (unless granted a waiver under §1302.24)</p> <p>§1302.21(c)(1):</p> <p>By August 1, 2018, a program must provide 1,380 annual hours of planned class operations for all enrolled children.</p> <p>A program that is designed to meet the needs of young parents enrolled in public school settings may meet the service duration requirements in §1302.21(c)(1)(i) if it operates a center-based program schedule during the school year aligned with its local education agency requirements and provides regular home-based services during the summer break.</p>	<p>August 1, 2018</p>
<p style="text-align: center;">Head Start center-based service duration: 50 percent at 1,020 annual hours (unless granted a waiver under §1302.24)</p> <p>§1302.21(c)(2)(iii) and (v):</p> <p>By August 1, 2019, a program must provide 1,020 annual hours of planned class operations over the course of at least eight months per year for at least 50 percent of its Head Start center-based funded enrollment.</p>	<p>August 1, 2019</p>

Timeframes required in HSPPS



Citation	Text	Timelines/Timeframes
PART 1302 – PROGRAM OPERATIONS		
Subpart A – Eligibility, Recruitment, Selection, Enrollment, and Attendance		
<p>§1302.12 Determining, verifying, and documenting eligibility. (k) <u>Records.</u> (m) <u>Training on Eligibility.</u></p>	<p>[...](k) <u>Records.</u> [...] (3) A program must keep eligibility determination records for those currently enrolled, as long as they are enrolled, and, for one year after they have either stopped receiving services; or are no longer enrolled.</p> <p>(m) <u>Training on Eligibility.</u>[...] (2) A program must train management and staff members who make eligibility determinations within 90 days of hiring new staff.</p> <p>(3) A program must train all governing body and policy council members within 180 days of the beginning of the term of a new governing body or policy council.</p>	<p>For as long as children are enrolled, and for one year after they no longer receive services or are no longer enrolled</p> <p>Within 90 days of hiring new staff</p> <p>Within 180 days of beginning of term</p>
<p>§1302.14 Selection process. (a) <u>Selection criteria.</u> (c) <u>Waiting lists.</u></p>	<p>(a) <u>Selection criteria.</u> (1) A program must annually establish selection criteria that weigh the prioritization of selection of participants, based on community needs identified in the community needs assessment as described in §1302.11(b), and including family income, whether the child is homeless, whether the child is in foster care, the child’s age, whether the child is eligible for special education and related services, or early intervention services, as appropriate, as determined under the Individuals with Disabilities Education Act (IDEA) (20 U.S.C. 1400 <i>et seq.</i>) and, other relevant family or child risk factors. [...]</p> <p>(c) <u>Waiting lists.</u> A program must develop at the beginning of each enrollment year and maintain during the year a waiting list that ranks children according to the program’s selection criteria.</p>	<p>Annually</p> <p>At the beginning of each enrollment year and maintain during the year</p>
<p>§1302.15 Enrollment. (a) <u>Funded enrollment.</u> (c) <u>Reserved slots.</u></p>	<p>(a) <u>Funded enrollment.</u> A program must maintain its funded enrollment level and fill any vacancy as soon as possible. A program must fill any vacancy within 30 days. [...]</p> <p>(c) <u>Reserved slots.</u> If a program determines from the community assessment there are families experiencing homelessness in the area, or children in foster care that could benefit from services, the program may reserve one or more enrollment slots for pregnant women and children experiencing homelessness and children in foster care, when a vacancy occurs. No more than three percent of a program’s funded enrollment slots may be reserved. If the reserved enrollment slot is not filled within 30 days, the enrollment slot becomes vacant and then must be filled in accordance with</p>	<p>Within 30 days</p> <p>Within 30 days</p>

Thank You



Questions?

