

# Updates to the Performance Standards: Nurturing the Promise

August 28, 2024



# **OUR GOALS**



- Attract and retain high-qualified workforce
- Respond to increasing mental health needs
- Address other areas of program quality improvements
- Effectively meet evolving needs of the communities we serve







# STRONGER TOGETHER



- These updated standards are responsive to feedback from the public
- We made changes to promote flexibility, reduce administrative burden, and clarify intent
- The updated Head Start Program
   Performance Standards ensure high quality services while allowing programs
   to meet their unique community needs







# **OVERVIEW OF FINAL RULE**



## Workforce

## New requirements:

- Wages
- Benefits
- Staff wellness
- Employee engagement

## Mental Health

## Enhanced requirements:

- Fully integrate mental health into Head Start requirements
- Require multi-disciplinary approach
- Address barriers to obtaining mental health consultation

# Quality Improvement

# New or enhanced requirements:

- Family service worker caseloads
- Identify and meet community needs
- Child safety
- Adjust for excessive housing costs
- AIAN & MSHS eligibility







## WORKFORCE: WAGES



## Policy Goal: Promote wages that reflect the value of Head Start staff

#### By August 1, 2031:

- Must establish or update a pay structure that promotes competitive wages for all positions
- Education staff must be paid an annual salary comparable to public school preschool teachers
  - To make progress towards pay parity for Head Start education staff with K-3<sup>rd</sup> grade teachers
  - Must consider responsibilities, qualifications, experience, and hours worked
  - May use alternative benchmark of 90% of kindergarten teacher salaries
- Minimum pay for all staff must be at least sufficient to cover basic costs of living in the local area
- Wages must be comparable across Head Start Preschool and Early Head Start
  - For staff with similar qualifications and experience







## What does parity look like?

# Is this pay parity? NO



- 12 years experience
- BA degree in ECE
- · Full-day, full-year



- 12 years experience
- BA degree in ECE
- Full-day, full-year

# Is this pay parity? YES



- 12 years experience
- BA degree in ECE
- Full-day, full-year



- 12 years experience
- BA degree in ECE
- · Full-day, full-year

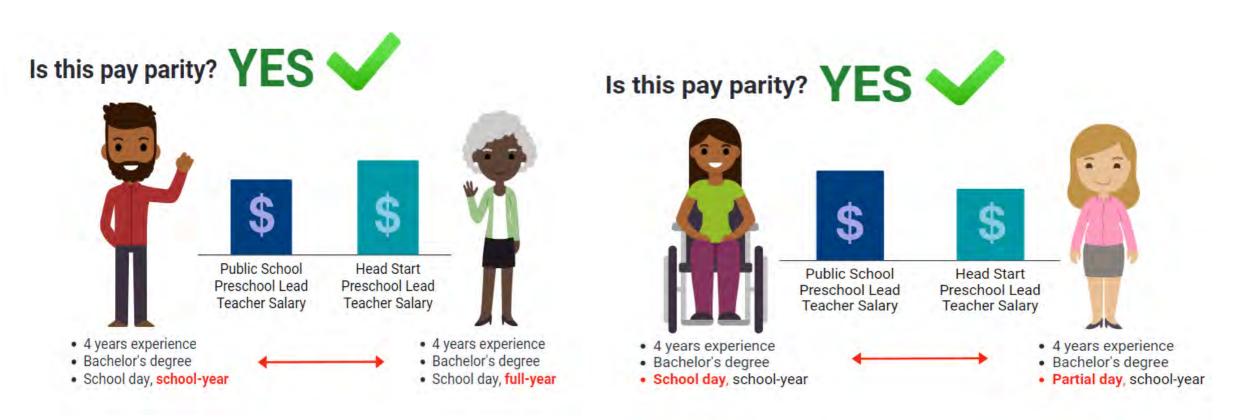








Programs can adjust salaries based on schedule/hours worked.









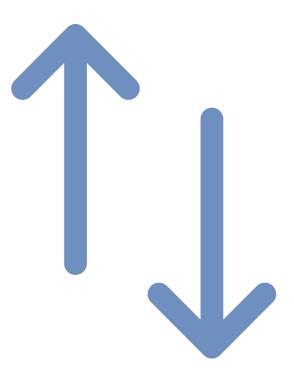


# Head Start programs can adjust salaries based on differences in:

- √ Role/ Responsibilities
- ✓ Qualifications
- ✓ Experience
- ✓ Schedule or Hours Worked

# Programs can use an alternative method to identify comparable salaries:

- ✓ Public preschool teacher salaries in neighboring school districts
- ✓ At least 90 percent of public kindergarten teacher salaries





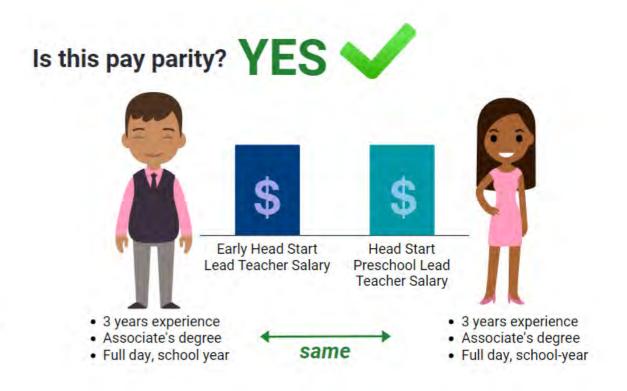






Salaries for comparable staff should not differ across Early Head Start and Head Start Preschool.

# Is this pay parity? NO S Early Head Start Lead Teacher Salary Preschool Lead Teacher Salary 3 years experience Associate's degree Full day, school-year Full day, school-year Full day, school-year



## WORKFORCE: WAGES



## Responding to Feedback on Wages

- Clarify staff covered by wage policies; alternative method of 90% of kindergarten teacher salaries
- Added a Secretarial waiver authority for the wage policies if funding is very low
  - HHS Secretary may establish a waiver process in 2028 if the average annual increase in appropriations for the prior four years is **less than 1.3 percent**
- In order to receive a Secretarial wavier, programs must:
  - Meet quality benchmarks, including a strong health and safety record and demonstrated progress on wages
  - Need to reduce enrolled Head Start slots in order to implement the wage requirements
  - Continue to make improvements on wages over time, to the greatest extent practicable





# WORKFORCE: BENEFITS



## Policy Goal: Strengthen benefits for Head Start staff

- Full-time staff (30 hours or more per week):
  - **Health insurance** either through an employer plan or the Marketplace
  - Short-term, free or low-cost behavioral health services
  - Paid leave
- Part-time staff must be connected with health insurance options in the Marketplace
- Connect staff with child care subsidy programs and Public Service Loan Forgiveness



# WORKFORCE: BENEFITS



## Responding to Feedback on Benefits

- Did not include requirements for:
  - Retirement
  - Paid family leave
- Revised policies to:
  - Reduce prescriptiveness on paid leave requirement
  - Allow more time for implementation of the benefits requirements by extending the compliance timeline from 2 to 4 years







# WORKFORCE



## Responding to Feedback on Workforce

- Added an exemption for small programs for the wage and benefit policies
  - Agencies with 200 or fewer total Head Start slots across all grants are automatically exempt
- Small programs must still make improvements in compensation over time, to the greatest extent practicable







# WORKFORCE: WELLNESS & ENGAGEMENT



Policy Goal: Strengthen the health and wellbeing of the Head Start workforce

### Staff Wellness

- Programmatic culture of wellness promotion and staff empowerment
- Staff must receive adequate breaks
  - No requirement for unscheduled breaks
  - Length of required breaks is not specified
- No requirement for adult-sized furniture in classrooms

## **Employee Engagement**

- Management style that encourages, values, and responds to employee feedback
- Identify and address barriers to high-quality job performance





August 21, 2024

Final Rule: ACF published updated Head Start Program Performance Standards with Final Rule: Supporting the Head Start Workforce and Consistent Quality Programming

# Workforce Standards: Phase In Timeline

Head Start programs can increase wages over time to make progress toward pay parity.

In 4 Years (August 1, 2028)

**Benefits:** For full-time staff (30+ hours/ week): health care coverage; paid leave; short term, free or low-cost behavioral health services. For part-time staff: connection to health care coverage.

In 7 Years (August 1, 2031) Wages: Competitive wages & updated salary scale for all staff; annual salaries for education staff w/ preschool teachers in public schools (or 90% of K), adjusted for qualifications, experience, responsibilities, hours worked; comparable wages for infant toddler and preschool educators; pay sufficient to meet basic needs.





# MENTAL HEALTH



Policy Goal: Integrate mental health into all aspects of program service delivery

## Multidisciplinary mental health approach (by August, 2025)

Program-wide integration of mental health supports for children, families, and staff

Ongoing mental health consultation services at least once per month, with an annual review of

consultation services

## Mental health consultation

- Role is to build capacity of adults to support children's mental health
- Clarify mental health consultant qualifications
- Reduce barriers to obtaining mental health consultation







# MENTAL HEALTH



Policy Goal: Integrate mental health into all aspects of program service delivery

## Multidisciplinary mental health team approach (by August, 2025)

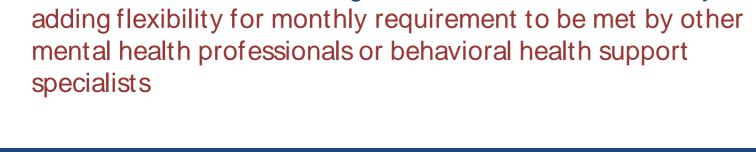
Program-wide integration of mental health supports for children, families, and staff

Ongoing mental health consultation services at least once per month, with an annual review of

consultation services

## Mental health consultation

Reduce barriers to obtaining mental health consultation by mental health professionals or behavioral health support









# MENTAL HEALTH



Policy Goal: Integrate mental health into all aspects of program service delivery

## Connecting mental health with other program services

- Integrate mental health into family support services and services for expectant families
- Facilitate proactive mental health screening and follow up for children

## Suspension

- Define and provide clearer requirements for suspension
- No definition or change to policy on expulsion











<u>Policy Goal</u>: High-quality, individualized family partnership services and reasonable workloads for family partnership staff

- Establish that no more than 40 families are assigned to each family partnership staff
- Clarify circumstances when programs may exceed the maximum
- Establish waiver process to allow for other high-quality models









Policy Goal: Ensure responsive, high-quality services for expectant families

- Clear tracking and reporting of program services
- Clarify intent of newborn visit and appropriate curriculum
- Help to promote healthy birth outcomes and address racial and ethnic disparities in pre- and postnatal outcomes











## Policy Goal: Streamline the community assessment

- Strategically plan how to approach and use the community assessment
- Identify and leverage existing data
- Programs decide whether an annual update is needed









## Policy Goal: Identify barriers to enrollment and attendance

- Understand why children selected for the program do not enroll or attend, including whether transportation is a barrier
- Resolve identified barriers where feasible
  - Clarify expectations for resolving barriers









Policy Goal: Better identify families living in poverty who are eligible for Head Start

## Clearly Define Income:

Provide a concrete list of income sources considered for eligibility

## Account for High Cost of Living:

Excessive housing costs can be deducted from a family's income when determining eligibility





# ADJUSTING FOR EXCESSIVE HOUSING COSTS



This family makes \$40,000

Federal Poverty Line is \$35,140





Not below 100% of federal poverty level eligibility criteria



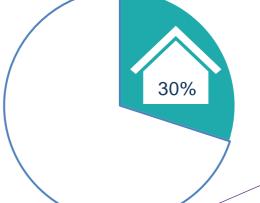




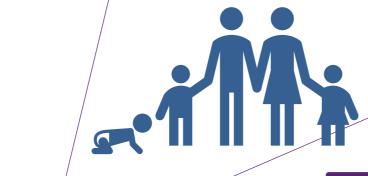
Programs can now deduct housing expenses OVER 30% of a family's income

50%

They spend 50% of their income\* on housing, \$20,000.



In this case, 30% of their income would be \$12,000



\$20,000-\$12,000 = \$8,000

\*Total family income = \$40,000

Housing adjustment = \$8,000

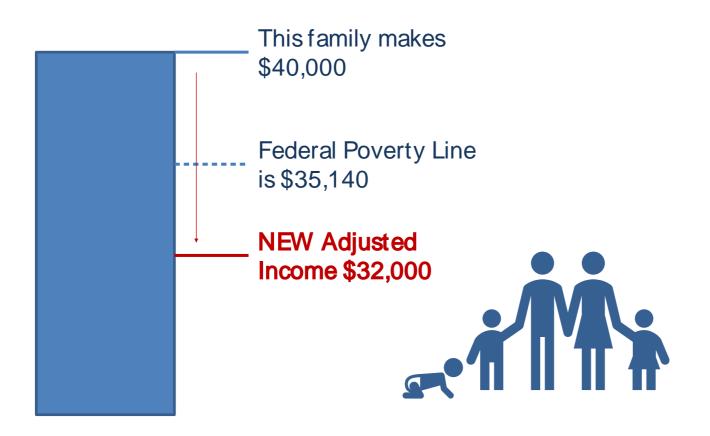






# ADJUSTING FOR EXCESSIVE HOUSING COSTS







Eligible for Head Start services







## Policy Goal: Align Tribal and MSHS eligibility with statutory changes

- Tribal Programs: may determine a pregnant woman or child is eligible for Head Start regardless of income
- Migrant & Seasonal Programs: may determine any pregnant woman or child is eligible for Head Start if at least one family member's income comes primarily from agricultural employment









## Policy Goal: Align the standards with the Head Start Act

- Ensure at least 10 percent of total actual enrollment is filled by children with disabilities
- "Actual" replaces "funded" to align with Act









## Policy Goal: Protect children from exposure to lead in Head Start

- Have a plan to test for and address lead in water and paint in Head Start facilities
- Reduced prescriptiveness to allow for variations in local context









Policy Goal: Increase capacity to promote child health and safety

- Annual staff training to help prevent child health and safety incidents and better identify concerns:
  - Mandated reporting of child abuse and neglect
  - Positive strategies to support social and emotional development

Policy Goal: Clarify who is responsible for following safety practices

- Everyone who interacts with children\* must follow safety practices
- This includes reporting child abuse and neglect to the appropriate state or tribal child protection authority







<sup>\*</sup>this is a simplified summary: see preamble and regulation text for precise language



Policy Goal: Clarify what behaviors are inappropriate when interacting with children

• Aligns requirements for **Standards of Conduct** related to maltreatment and endangerment of children with four major categories of child maltreatment

ЯЭ

#### Behavior that is:

- Corporal punishment or physically abusive hitting, kicking, shaking, restraining
- Sexually abusive inappropriate touching, inappropriate filming
- Emotionally harmful or abusive using seclusion, name calling, shaming
- Neglectful leaving a child unattended on a bus, withholding food as punishment
- Clarifies that violations of this part of the Standards of Conduct require an incident report to OHS









## Policy Goal: Clarify requirements for reporting incidents to OHS

- OHS incident reports required immediately but no later than 7 calendar days following the incident
- Clarifies which **significant incidents** affecting children's health and safety must be reported:
  - Occur in a **setting** where Head Start services are provided **AND**
  - Involve a staff, contractor, or volunteer **OR** a **child** that participates in program or classroom at least partially funded by **Head Start**
- At a minimum, reportable incidents include:
  - Maltreatment or endangerment of a child (as defined in the Standards of Conduct)
  - Serious harm or injury resulting from lack of preventative maintenance
  - Serious harm, injury, or endangerment resulting from lack of supervision
  - Any unauthorized release
- Management system must ensure all staff are trained to implement OHS incident reporting procedures











## Policy Goal: Clarify expectations for ratios in family child care settings

- Clarify ratio and provider qualification requirements in family child care settings
- Did not retain NPRM proposals for:
  - Lower ratios in Early Head Start for the youngest children served
  - 46 weeks for Early Head Start center-based duration









Policy Goal: Streamline requirements around Quality Rating Improvement Systems (QRIS)

- Clarifies that programs should participate in their state or local QRIS, to extent practicable
  - Participation should not duplicate existing documentation requirements
  - Tribal programs remain exempt
- The previous standard was that programs "must participate" if three conditions were met







## Policy Goal: Improve communication about Head Start programs

## Formalize common practice definitions

- Head Start entire birth-to-five Head Start program
- Head Start Preschool (HSP) children ages 3 to compulsory school age
- Early Head Start (EHS) birth to age 3









## Policy Goal: Improve communication about Head Start programs

Improve and modernize communication with families

- Include modern technologies in recruitment efforts and reduce burden on families
- Ensure user-friendly enrollment process
- Consider diverse language types and abilities in determining accessible forms of communication









Policy Goal: Clarify definitions and requirements related to facilities

- Increase the threshold to \$350,000 in the definition of major renovation
  - Alignment with simplified acquisition threshold
  - Flexibility for Tribal programs
- Clarify that if **federal funds for fees and costs** associated with facility application are approved, they are allowable regardless of the outcome of the application





# 60 DAY TIMELINE



## Highlights of policies that have a compliance date of October 21st, 2024

- ERSEA Changes: such as
  - Housing adjustment
  - Definition of income
  - Modernizing communication
  - 10% of children with disabilities
- Mental Health: such as
  - Mental health consultant qualifications
  - Suspension
- Lead
- Newborn visit content

- Child Health & Safety: all changes including
  - Proactive approach
  - Standards of Conduct
  - Incident Reporting
- QRIS
- Facilities
- FCC Ratio Clarification
- Workforce Polices: such as
  - Culture of wellness and employee engagement
  - Professional Development plans





# 3 Month to 1 Year Timeline



## Highlights of policies that have the following compliance dates:

## **December 19th, 2024**

- Services for Pregnant Women: specifically
  - Tracking and reporting services provided

## February 18th, 2025

- Services for Pregnant Women: specifically
  - Promoting healthy birth outcomes

## August 1st, 2025

- Mental Health: multidisciplinary approach changes, including
  - Monthly frequency for mental health consultation
  - Annual review of mental health consultation services
  - Screening for social and emotional development
- Community Assessment
- Barriers to Enrollment & Attendance
- Qualifications for all FCC Providers







# 3 TO 7 YEAR TIMELINE



## Highlights of policies that have the following compliance dates:

## August 1st, 2027

- Family Assignment Maximum for Family Partnership Services
  - 40:1 family to staff ratio
- Workforce: Wellness
  - Adequate breaks

## August 1st, 2028

- Workforce: Benefits all changes, including
  - Connecting with health insurance
  - Paid leave
  - Short-term behavioral health supports

## August 1st, 2031

- Workforce: Wages: all changes, including
  - Salary scale
  - Pay parity
  - Minimum pay





# WHERE DO YOU START?



## Step 1:

- Reflect on your current model
- Many programs already meet a lot of the new requirements

## <u>Step 2:</u>

 Identify new requirements that have an early compliance timeline

#### OR

That will need time to plan and make incremental change









# A GUIDE TO POLICY LINGO



Effective Date: when the new standards officially become part of the government record

- It means: the new standards are official and you are allowed to do anything they allow
- It doesn't mean: you must do everything by the effective date

Compliance Date: when programs can legally be held responsible for the new standard

- It means: OHS has the option to conduct oversight on a standard once the compliance date has passed
- It doesn't mean: OHS will definitely monitor on a standard as soon as the compliance date passes







# NURTURING THE PROMISE



## Look out for resources and events with the Nurturing the Promise logo

 The logo means the information will help programs as they implement the new standards

## We want to hear from you!

- Tell your Program Specialist how OHS can support you in this process
- Stay tuned for more opportunities to engage with OHS









# NURTURING THE PROMISE



## Next Up from Nurturing the Promise

- Videos from Director Garvin about the new standards
- Resources explaining the workforce policies in more detail

## Monthly Headline Topics

- October's headline will be Child Health & Safety
- Stay tuned for topics for upcoming months!









# NURTURING THE PROMISE



## **ECLKC Resources**

- HSPPS with tracked changes
- Final Rule Preamble
- Fact sheet covering the major changes
- Timeline with Compliance Dates
- Recordings from webinars

And more to come!







